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# Metamorphic Code Generation Using LLVM

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Metamorphic Code Generation Using LLVM

A Project

Presented to

The Faculty of the Department of Computer Science

San Jose State University

In Partial Fulfillment

of the Requirements for the Degree

Master of Science

by

Michael Crawford

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The Designated Project Committee Approves the Project Titled

Metamorphic Code Generation Using LLVM

by

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APPROVED FOR THE DEPARTMENTS OF COMPUTER SCIENCE

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December 2017

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## ABSTRACT

### Metamorphic Code Generation Using LLVM

by Michael Crawford

Each instance of metamorphic software changes its internal structure, but the function remains essentially the same. Such metamorphism has been used primarily by malware writers as a means of evading signature-based detection. However, metamorphism also has potential beneficial uses in fields related to software protection. In this research, we develop a practical framework within the LLVM compiler that automatically generates metamorphic code, where the user has well-defined control over the degree of morphing applied to the code. We analyze the effectiveness of this metamorphic generator based on Hidden Markov Model (HMM) analysis, and discover that HMMs are effective at detection up to ~285% code added.

## ACKNOWLEDGMENTS

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## CHAPTER 1

### Introduction

Software can be considered metamorphic if multiple functionally equivalent copies exist, but these copies are structurally different. Traditionally, metamorphic software has had malicious intent, often written by virus authors to avoid signature-based virus detection. There are some marketable benefits to metamorphic software however, in that it provides potential for diversity in code execution. Analogous to genetic diversity and its resilience against disease and other vulnerabilities in nature, metamorphic software can prevent large-scale infection of systems as it potentially has higher “break once, break everywhere” resistance [4].

Metamorphic software is most commonly created using generators, which process an original piece of software into multiple structurally unique copies. Metamorphic generators can be standalone [5], or embedded in the software [6]. When embedded, the morphing generator morphs itself with each generation. Metamorphic malware is typically very challenging to detect [5]. Recent research using Hidden Markov Models [7, 8] and other methods [9] has had some success associating morphed variants with their origins. Some better-known metamorphic generators are “Mass Code Generator” (MPCGEN) [10], “Next Generation Virus Konstruktion Kit” (NGVCK) [5], and “Metamorphic Permutating High-Obfuscating Reassembler” (MetaPHOR) [6, 11].

Most morphing engines morph execution code at the assembly level. This has the most potential for diversity as many high-level languages provide little control over how the compiler creates processor instructions, a requirement if the goal is to

evade signature-based detection.

The remainder of this report is organized as follows. In Chapter 2, we discuss various software morphing techniques. In Chapter 3, we discuss the Low Level Virtual Machine compiler (LLVM) framework. In Chapter 4, we discuss similarity detection strategies, with an emphasis on Hidden Markov Models (HMMs). In Chapter 5, we discuss the objective, design, and implementation of the tooling developed to perform the research for this project. In Chapter 6, we discuss the experiments performed, and their results. In Chapter 7, we provide our conclusion and the findings of the project.

## CHAPTER 2

### Morphing Techniques

#### 2.1 Insertion

One of the simplest ways to change a program is to add instructions to it, depending on where and how the code is added, very little needs to be known about the program or how it functions.

##### 2.1.1 Inaccessible Code

When code that will never be executed is added to a program, it is considered to be inaccessible code. Inaccessible code is easily added to an executable wherever there are gaps between routines or at the end of execution. A caveat of inaccessible code insertion is that it can be easily optimized out of a program, since it is never used. Smart detection strategies can ignore inaccessible code entirely [12]. A clever way to avoid removal of inaccessible code is to provide a conditional path to it such that the condition will never be satisfied in actual execution.

##### 2.1.2 Dead Code

Dead code is added code that will be executed, but the execution produces no usable result. The most basic form of dead code is the NOP instruction. Simple forms of dead code can be optimized out of a program, but more complex implementations can be very difficult to remove and will ultimately have an effect on the similarity of a morphed program with its origin program.

## 2.2 Substitution

Substitution aims to replace existing instructions with functionally-equivalent but different instructions or sets of instructions. Two simple examples are replacing MOV R1, R2 with PUSH R1; POP R2, and replacing MOV R1, 0 with XOR R1, R1. A more involved method of substitution replaces a complex instruction with a set of simpler instructions [13]. As shown in Figure 1, the complex instruction movsd can be replaced by four simpler instructions surrounded by stack operations, and in another step the stack operations can be replaced.

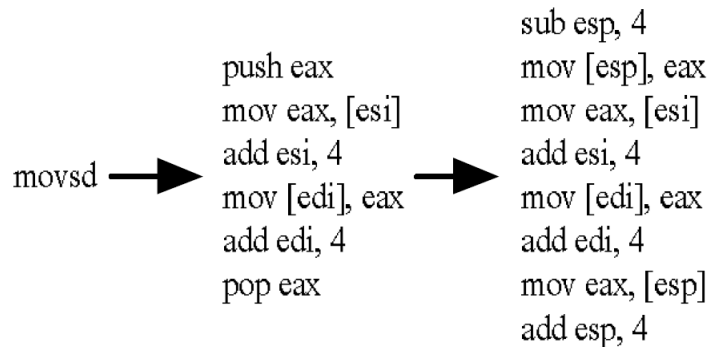


Figure 1. Complex Instruction morphed into Simple Instructions [13]

## 2.3 Transposition

Transposition is the process of swapping execution code around such that the output code is different in structure, but equal in function. Common transposition techniques include Register Swap, Subroutine Permutation, and Instruction Transposition.

### 2.3.1 Register Swap

A very simple technique where the register pointed-to by an instruction operand is switched to another register that is not currently in use. Figure 2 shows how



```

a.)
5A          pop     edx
BF04000000 mov     edi,0004h
8BF5       mov     esi,ebp
B80C000000 mov     eax,000Ch
81C288000000 add    edx,0088h
8B1A       mov     ebx,[edx]
899C8618110000 mov   [esi+eax*4+00001118],ebx

b.)
58          pop     eax
EB04000000 mov     ebx,0004h
8BD5       mov     edx,ebp
BF0C000000 mov     edi,000Ch
81C088000000 add    eax,0088h
8B30       mov     esi,[eax]
89B4BA18110000 mov   [edx+edi*4+00001118],esi

```

Figure 2. Register Swap [5]

register operands from the original program “a” are transformed into a new program “b” which is functionally the same, but is not binary equivalent.

It should be noted that due to the assembly-level nature of register swapping, implementing register swapping at the LLVM IR-level is not possible, since registers are not defined at the IR-level. For more information about LLVM, see Chapter 3.

### 2.3.2 Subroutine Permutation

Subroutine Permutation takes the functions or methods of a program, and generates permutations of the ordering such that functionality remains the same, but code structure is changed. Figure 3 shows a program with 8 subroutines and an example resultant reordering of the initial program. Previous work using LLVM to apply subroutine permutation has been completed with excellent results [14].

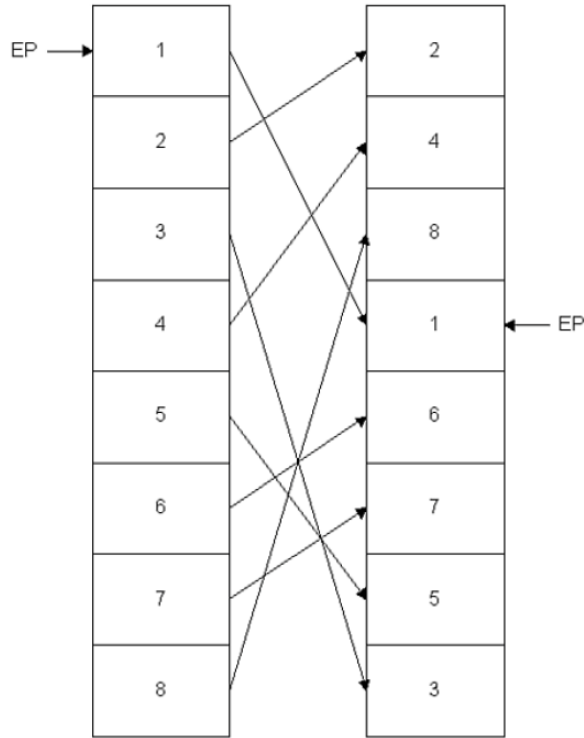


Figure 3. Subroutine Permutation [5]

### 2.3.3 Instruction Transposition

Instruction Transposition takes the idea behind Subroutine Permutation and applies it at the basic block level. Basic blocks are sets of instructions that have one entry point and one exit point [15], all jumps must be contained within the set of instructions to be considered a basic block.

A Data-Dependency-Graph (DDG) can be created which represents the dependencies of each instruction in the basic block. Each reordering of branches creates a permutation of morphed output.

Figure 4 describes converting a DDG for an example basic block into some possible morphed outputs. In the example, we can see that the path to instruction 5 can

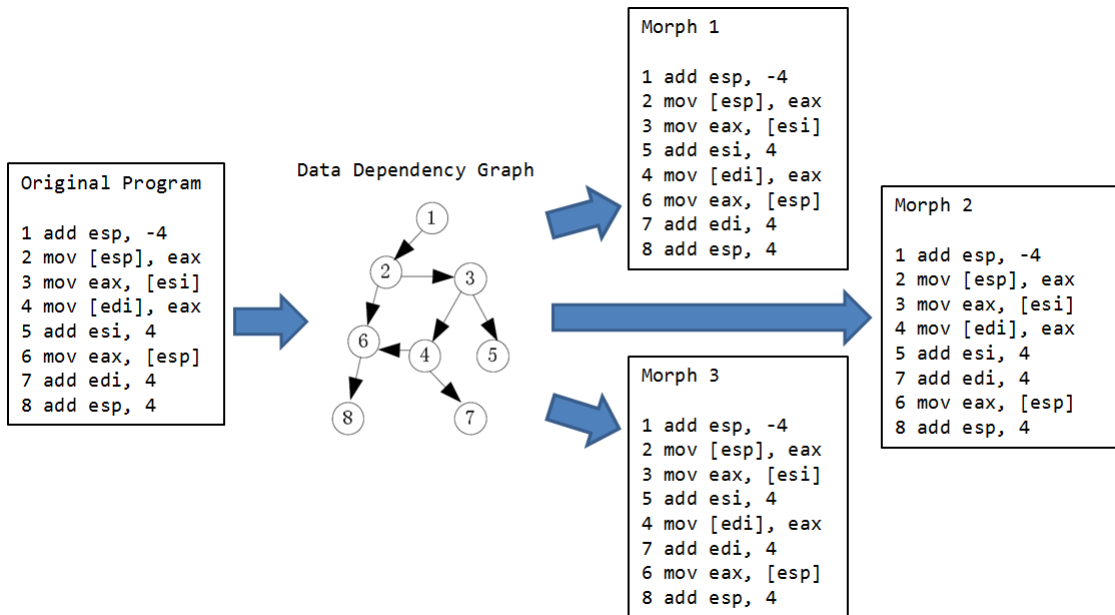


Figure 4. Instruction Transposition

happen before instruction 4 is executed, the opposite is also true. Other permutations are also possible as seen in the diagram.

## CHAPTER 3

### LLVM

The Low Level Virtual Machine (LLVM) software is a compiler framework designed to reduce compiler code duplication across languages and architectures. It splits the functions of a compiler into a set of modular compiler components such that the core components can be shared and reused across different compilation schemes. This design is beneficial since it allows future work and optimization to be done in components that have multiple use-cases which can potentially improve code generation for any language and architecture. LLVM uses these components to convert arbitrary high-level language code, such as C or C++, into an intermediate representation (IR). IR lies somewhere in-between the complexity of assembly and the simplicity of high-level languages. A diagram of LLVM is shown in Figure 5.

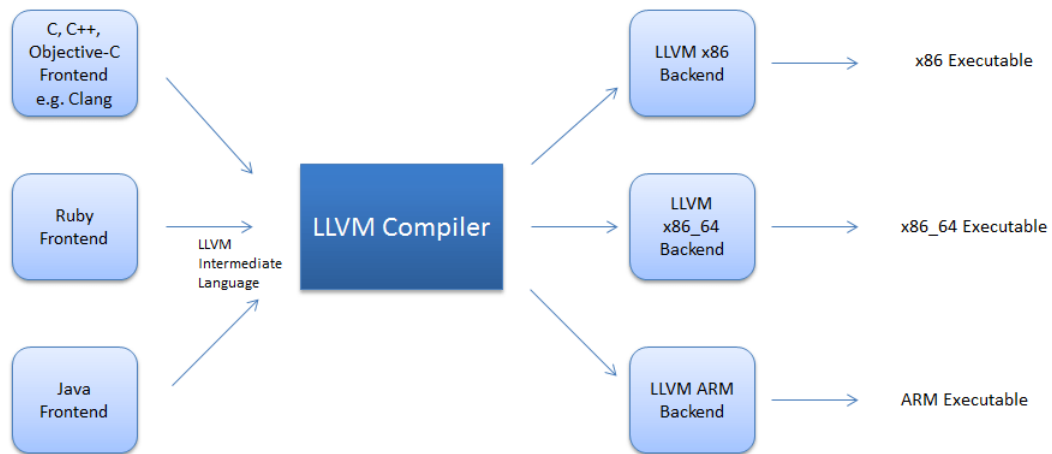


Figure 5. LLVM Compiler [2]

### 3.1 LLVM Intermediate Representation (IR)

LLVM IR provides type safety, low-level operations, and the capability of representing ‘all’ high-level languages cleanly and is used throughout all phases of LLVM compilation strategy [16]. LLVM makes creation of new languages and syntax less cumbersome since compiler developers need only write code to convert new language grammar into LLVM IR. Subsequently, adding architectures is easier since developers need only write code to assemble LLVM IR into the architectures instruction code.

IR closely resembles assembly code, with the smallest unit of execution being labeled as an Instruction [17]. A sample of Human Readable IR Bytecode is provided in Figure 6.

### 3.2 LLVM Program Structure

Programs in LLVM are represented in a hierarchical container structure. The outermost representation, the Module [18], represents the entire program [19]. Modules contain Function [20] objects, representing functions in the program. Functions contain BasicBlock [21] objects, which represent Basic Blocks. Basic Blocks contain Instruction [17] objects, which represent LLVM instructions in code. There is a corresponding Instruction implementation for all IR byte code instructions. The LLVM container structure is shown visually in Figure 7.

### 3.3 LLVM Passes

To facilitate processing of LLVM IR bytecode, LLVM uses a data structure called a “Pass”, which are typically split up into three different types [22]; Analysis, Transform, and Utility. Analysis passes often perform some inspection of code, and may store results in memory for subsequent passes to use. Transform passes modify code

---

```

1 define i32 @main() #0 {
2 entry:
3   %retval = alloca i32, align 4
4   %i = alloca i32, align 4
5   %str = alloca [13 x i8], align 1
6   store i32 0, i32* %retval
7   %0 = bitcast [13 x i8]* %str to i8*
8   call void @llvm.memcpy.p0i8.p0i8.i64(i8* %0, i8* getelementptr ←
      inbounds ([13 x i8]* @main.str, i32 0, i32 0), i64 13, i32 1, ←
      i1 false)
9   store i32 0, i32* %i, align 4
10  br label %for.cond
11
12 for.cond:                                     ; preds = %for.←
      inc, %entry
13  %1 = load i32* %i, align 4
14  %conv = sext i32 %1 to i64
15  %arraydecay = getelementptr inbounds [13 x i8]* %str, i32 0, i32 ←
      0
16  %call = call i64 @strlen(i8* %arraydecay) #4
17  %cmp = icmp ult i64 %conv, %call
18  br i1 %cmp, label %for.body, label %for.end
19
20 for.body:                                     ; preds = %for.←
      cond
21  %2 = load i32* %i, align 4
22  %idxprom = sext i32 %2 to i64
23  %arrayidx = getelementptr inbounds [13 x i8]* %str, i32 0, i64 ←
      %idxprom
24  %3 = load i8* %arrayidx, align 1
25  %conv2 = sext i8 %3 to i32
26  %call3 = call i32 (i8*, ...)* @printf(i8* getelementptr inbounds ←
      ([3 x i8]* @.str, i32 0, i32 0), i32 %conv2)
27  br label %for.inc
28
29 for.inc:                                     ; preds = %for.←
      body
30  %4 = load i32* %i, align 4
31  %inc = add nsw i32 %4, 1
32  store i32 %inc, i32* %i, align 4
33  br label %for.cond
34
35 for.end:                                     ; preds = %for.←
      cond
36  ret i32 0
37 }

```

---

Figure 6. Sample LLVM Human-Readable IR Bytecode

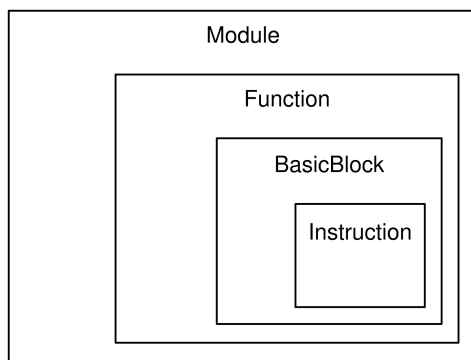


Figure 7. LLVM Container Objects [3]

in some way, and may make use of information created from a prior Analysis pass. Utility passes are extra passes that don't fit the Analysis/Transform model.

Passes can be written to function at different levels of the LLVM program structure. A ModulePass is applied to the program Module. A FunctionPass is applied to the program Functions. A BasicBlockPass is applied to program BasicBlocks. Figure 8 describes program flow, applying Passes during LLVM execution.

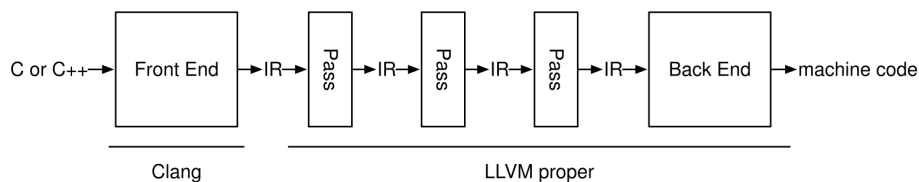


Figure 8. LLVM High-Level Program Flow [3]

### 3.4 LLVM Toolchain

LLVM consists of many independent tools that collectively accomplish program compilation and assembly. Users chain these tools together to perform the desired functions. Tool use is performed within a command-line environment. Tools are executed on a variety of files, described by their extensions as follows:

- Source Files - “.c”, “.cpp”

- Human Readable IR Bytecode - “.ll”
- Binary IR Bytecode - “.bc”
- Architecture Specific Assembly - “.s”

Figure 9 displays LLVM tool flow, showing file transition during the multi-step compilation process. Tools are described in the following subsections. Many of the tools can also be applied by running clang (see 3.4.1).

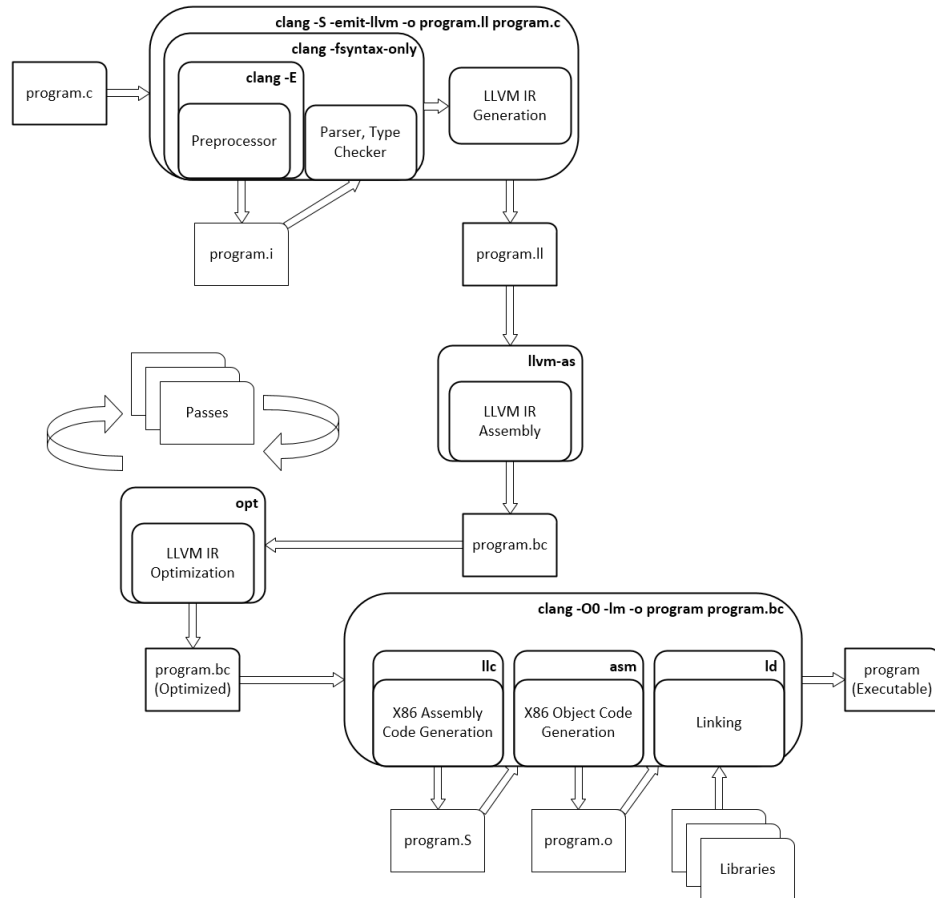


Figure 9. LLVM Compilation Tool Flow



### 3.4.1 clang

“Clang” is the C/C++ front-end for the LLVM project, it provides a gcc[23] compatible means to compile C/C++ code into various forms. These forms include pre-optimization LLVM IR bytecode, post-optimization LLVM IR bytecode, and native machine code [24]. As a GCC-compatible compiler, clang integrates with the other tools in the LLVM tool suite to create fully compiled and linked executable programs from source code. When using the `-emit-llvm` option, clang will output Binary IR bytecode (.bc) if the output file has extension .bc, or a Human Readable IR bytecode (.ll) file if the output file has extension .ll.

### 3.4.2 llvm-as

The LLVM assembler, “llvm-as”, reads files containing human readable IR bytecode (.ll) and translates them into files containing Binary IR bytecode (.bc) [25]. This tool is used to convert the output of llvm-dis or clang into Binary IR bytecode.

### 3.4.3 opt

The LLVM optimizer, “opt”, reads Binary IR bytecode and applies LLVM passes, outputting the product of those passes [26].

### 3.4.4 llvm-dis

The LLVM disassembler, “llvm-dis”, takes Binary IR bytecode and “converts it into human-readable LLVM assembly language” [27]. For this project, the primary use of this program is to inspect code.

### 3.4.5 llc

The LLVM static compiler, “llc”, takes Binary IR bytecode and compiles it “into assembly language for a specified architecture” [28].

## 3.5 Discussion

In summary, LLVM is a capable toolkit that provides a highly modular and extensible code modification and analysis platform. The C++ Application Programmer Interface (API) is well documented [29], and there is a wealth of information available from other sources. The toolsuite includes a mechanism to inspect modifications using Human Readable IR bytecode, providing a means of verification and validation. These characteristics make LLVM a good candidate for creation of a morphing engine in the form of an LLVM Pass.

## CHAPTER 4

### Similarity Detection

#### 4.1 Signature Detection

Signature-based detection uses a unique string of bits within a program to determine if that program is the same as another. Nearly all consumer-grade virus scanning software uses signature-based detection.

#### 4.2 Hidden Markov Model (HMM)

A. A. Markov developed the idea of a “Markov Process” in 1907 [30]. A Markov process consists of a set of states and probabilities that describe the transition between those states, often represented by a state transition matrix, shown in Figure 11. Hidden Markov Models (HMM) are useful for understanding systems that can be represented with a Markov process, where the states of the process are not directly observable. A HMM is trained to generate a state transition matrix using observable states thought to be dependent in some way on the unobservable states of the hidden Markov process.

Figure 10 visually describes an HMM, where a set of hidden state transition probabilities ( $A$ ) determines the hidden state transitions occurring in the hidden state sequence ( $X$ ). Observations ( $\mathcal{O}_t$ ) influence the observation probability matrix ( $B$ ) at hidden state ( $X_t$ ).

To assist in describing the structure of HMMs, notation is listed in Table 1.  $A$ ,  $B$ , and  $\pi$  are row stochastic. Equation 1 defines the values of the  $A$  matrix. Equation 2 defines the values of the  $B$  matrix. Equation 3 defines the probability of a state

sequence  $X$  occurring given observation states  $\mathcal{O}$ .

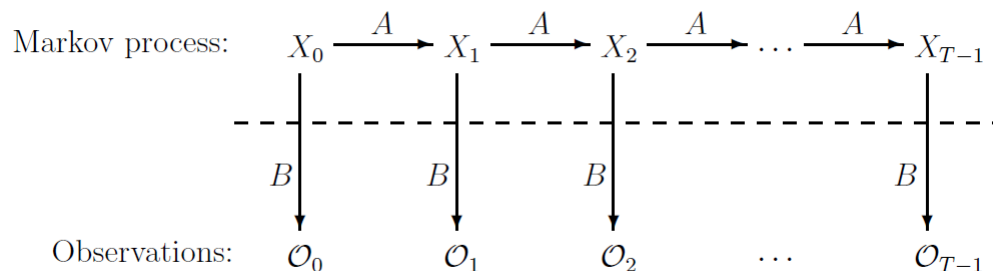


Figure 10. Hidden Markov Model [1]

$T$	=	length of the observation sequence
$N$	=	number of states in the model
$M$	=	number of observation symbols
$Q$	=	$\{q_0, q_1, \dots, q_{N-1}\}$ = distinct states of the Markov process
$V$	=	$\{0, 1, \dots, M-1\}$ = set of possible observations
$A$	=	state transition probability matrix = $\{a_{ij}\}$ , $N \times N$
$B$	=	observation probability matrix = $\{b_j(k)\}$ , $N \times M$
$\pi$	=	initial state distribution, $1 \times N$
$\mathcal{O}$	=	$(\mathcal{O}_0, \mathcal{O}_1, \dots, \mathcal{O}_{T-1})$ = observation sequence
$X$	=	$(X_0, X_1, \dots, X_{T-1})$ = hidden state sequence
$\lambda$	=	$(A, B, \pi)$ = hidden markov model

Table 1. HMM Notation [1]

$$a_{ij} = P(\text{state } q_j \text{ at } t+1 \mid \text{state } q_i \text{ at } t) \quad (1)$$

$$b_j(k) = P(\text{observation } k \text{ at } t \mid \text{state } q_j \text{ at } t) \quad (2)$$

$$P(X, \mathcal{O}) = \pi_{x_0} b_{x_0}(\mathcal{O}_0) a_{x_0, x_1} b_{x_1}(\mathcal{O}_1) \dots a_{x_{T-2}, x_{T-1}} b_{x_{T-1}}(\mathcal{O}_{T-1}) \quad (3)$$

HMM's can be used to solve 3 different problems [1]:

1. Given a model and a sequence of observations, we can determine the likelihood of the observed sequence,  $P(\mathcal{O}|\lambda)$  (see 4.2.2.1).

2. Given a model and a sequence of observations, we can find the optimal state sequence of the underlying Markov process,  $X_{Opt} = f(\mathcal{O}, \lambda)$  (see 4.2.2.2).
3. Given a sequence of observations, and dimensions  $N$  and  $M$ , we can generate a model that maximizes the probability of the observed sequence (see 4.2.2.3).

#### 4.2.1 HMM Example

$$A = \begin{matrix} & \begin{matrix} H & C \end{matrix} \\ \begin{matrix} H \\ C \end{matrix} & \begin{bmatrix} 0.7 & 0.3 \\ 0.4 & 0.6 \end{bmatrix} \end{matrix}$$

Figure 11. State Transition Probability Matrix [1]

M. Stamp developed a straightforward example to describe HMMs [1]. In this example, the objective is to determine if in the years before recorded history, those years were hot or cold, dependent on the sizes of tree rings, taken from trees that were living at the time. In this case, there is a Markov Chain with two states, Hot and Cold, which were historically unrecorded by humans. However a correlation is discovered between the sizes of tree rings and the temperature of the climate. We have a set of observable states, the values of which depend on unobservable states, an ideal case for an HMM. In this particular case, we can generate a state transition matrix using recorded data, let's assume that the state transition matrix for this problem contains the data in Figure 11. We should also assume that the correlation between temperature and tree ring sizes is provided by Figure 12, and the initial state distribution is denoted by Figure 13.

Using the  $A$  (Figure 11),  $B$  (Figure 12), and  $\pi$  (Figure 13) matrices, and the example observation sequence in Figure 14, we can determine the most likely annual temperature sequence by computing the probabilities (Equation 3) for all permuta-

$$B = \begin{matrix} & S & M & L \\ \begin{matrix} H \\ C \end{matrix} & \begin{bmatrix} 0.1 & 0.4 & 0.5 \\ 0.7 & 0.2 & 0.1 \end{bmatrix} \end{matrix}$$

Figure 12. Observation Probability Matrix [1]

$$\pi = \begin{matrix} H & C \\ \begin{bmatrix} 0.6 & 0.4 \end{bmatrix} \end{matrix}$$

Figure 13. Initial State Distribution [1]

tions of sequences. The computed values are in Table 2. Column three of Table 2 provides normalized probabilities such that all rows sum to 1.

$$\mathcal{O} = (S, M, S, L)$$

Figure 14. Example Observation Sequence [1]

state	probability	normalized probability
<i>HHHH</i>	.000412	.042787
<i>HHHC</i>	.000035	.003635
<i>HHCH</i>	.000706	.073320
<i>HHCC</i>	.000212	.022017
<i>HCHH</i>	.000050	.005193
<i>HCHC</i>	.000004	.000415
<i>HCCH</i>	.000302	.031364
<i>HCCC</i>	.000091	.009451
<i>CHHH</i>	.001098	.114031
<i>CHHC</i>	.000094	.009762
<i>CHCH</i>	.001882	.195451
<i>CHCC</i>	.000564	.058573
<i>CCHH</i>	.000470	.048811
<i>CCHC</i>	.000040	.004154
<i>CCCH</i>	.002822	.293073
<i>CCCC</i>	.000847	.087963

Table 2. State sequence probabilities [1]

From Table 2 we can see that the most likely state sequence is *CCCH*. However, HMM's allow us to find the sequence that maximizes the expected number of correct

states, computed by summing the probabilities for a given state at each position in the sequence. For example, as shown in Table 3, the HMM probability that the second state is equal to  $H$  is 0.519576. Thus, the optimal HMM-based sequence is  $CHCH$ .

	element			
	0	1	2	3
$P(H)$	0.188182	0.519576	0.228788	0.804029
$P(C)$	0.811818	0.480424	0.771212	0.195971

Table 3. HMM Probabilities [1]

## 4.2.2 HMM Training

### 4.2.2.1 Solving 1: Forward Algorithm

To find  $P(\mathcal{O}|\lambda)$ , the *forward algorithm*, or  $\alpha$ -pass, is used [1]. The probability of the partial observation up to time  $t$ , where the underlying Markov process is in state  $q_t$  at time  $t$  [1], is shown in Equation 4. Computing the values of  $\alpha_t(i)$  using Equations 5 and 6 we can determine the  $\alpha_t(i)$  values for all  $t$  up to  $T - 1$ . After which we can determine  $P(\mathcal{O}|\lambda)$  using Equation 7.

$$\alpha_t(i) = P(\mathcal{O}_0, \mathcal{O}_1, \dots, \mathcal{O}_t, x_t = q_i \mid \lambda), \text{ for } \{t \mid 0 \leq t < T\} \text{ and } \{i \mid 0 \leq i < N\} \quad (4)$$

$$\alpha_0(i) = \pi_i b_i(\mathcal{O}_0), \text{ for } \{i \mid 0 \leq i < N\} \quad (5)$$

$$\alpha_t(i) = \left[ \sum_{j=0}^{N-1} \alpha_{t-1}(j) a_{ji} \right] b_i(\mathcal{O}_t), \text{ for } \{t \mid 1 \leq t < T\} \text{ and } \{i \mid 0 \leq i < N\} \quad (6)$$

$$P(\mathcal{O}|\lambda) = \sum_{i=0}^{N-1} \alpha_{T-1}(i) \quad (7)$$

### 4.2.2.2 Solving 2: Backward Algorithm

To find  $X_{Opt}$ , we can use the *backward algorithm*, or  $\beta$ -pass, which measures the relevant probability after time  $t$ . Using the data from the  $\alpha$ -pass, we compute the  $\beta$  values using Equations 9 and 10. Since the  $\alpha_t(i)$  represents probability leading up to  $t$  and  $\beta_t(i)$  represents probability following  $t$  [1], we can deduce that the most optimal state at time  $t$  is the state  $q_i$  when these probabilities are highest, shown in Equation 13.

$$\beta_t(i) = P(\mathcal{O}_{t+1}, \mathcal{O}_{t+2}, \dots, \mathcal{O}_{T-1}, x_t = q_i \mid \lambda), \quad (8)$$

for  $\{t \mid 0 \leq t < T\}$  and  $\{i \mid 0 \leq i < N\}$

$$\beta_{T-1}(i) = 1, \text{ for } \{i \mid 0 \leq i < N\} \quad (9)$$

$$\beta_t(i) = \sum_{j=0}^{N-1} a_{ij} b_j(\mathcal{O}_{t+1}) \beta_{t+1}(j), \text{ for } \{t \mid T-2 \geq t \geq 0\} \text{ and } \{i \mid 0 \leq i < N\} \quad (10)$$

$$\gamma_t(i) = P(x_t = q_i \mid \mathcal{O}, \lambda), \text{ for } \{t \mid 0 \leq t < T\} \text{ and } \{i \mid 0 \leq i < N\} \quad (11)$$

$$\gamma_t(i) = \frac{\alpha_t(i) \beta_t(i)}{P(\mathcal{O} \mid \lambda)} \quad (12)$$

$$X_{Opt}(t) = q_i \text{ for } i \text{ when } \gamma_t(i) = \max_{0 \leq i < N} \gamma_t(i) \quad (13)$$

### 4.2.2.3 Solving 3: Model Building

We can train a model from observations using a hill-climb process. First we initialize  $\lambda = (A, B, \pi)$  using a best guess, or in near-uniform fashion such that  $\pi_i \approx 1/N$ ,  $a_{ij} \approx 1/N$ , and  $b_j(k) \approx 1/M$ , while maintaining row-stochastic nature. Then we compute  $\alpha_t(i)$  (Equations 5, 6),  $\beta_t(i)$  (Equations 9, 10),  $\gamma_t(i)$  (Equation 12), and  $\gamma_t(i, j)$  (Equation 15). We can then estimate the model using Equations 16, 17,



and 18. We will climb this process through repetition, until  $P(\mathcal{O}|\lambda)$  stops increasing.

$$\begin{aligned} \gamma_t(i, j) &= P(x_t = q_i, x_{t+1} = q_j \mid \mathcal{O}, \lambda), \\ &\text{for } \{t \mid 0 \leq t < T - 1\} \text{ and } \{i \mid 0 \leq i, j < N\} \end{aligned} \quad (14)$$

$$\gamma_t(i, j) = \frac{\alpha_t(i) a_{ij} b_j(\mathcal{O}_{t+1}) \beta_{t+1}(j)}{P(\mathcal{O}|\lambda)} \quad (15)$$

$$\pi_i = \gamma_0(i), \text{ for } \{i \mid 0 \leq i < N\} \quad (16)$$

$$a_{ij} = \frac{\sum_{t=0}^{T-2} \gamma_t(i, j)}{\sum_{t=0}^{T-2} \gamma_t} \quad (17)$$

$$b_j(k) = \frac{\sum_{\substack{t=0 \\ \mathcal{O}_t=k}}^{T-1} \gamma_t(j)}{\sum_{t=0}^{T-1} \gamma_t(j)} \quad (18)$$

### 4.2.3 HMM Use Cases

Hidden Markov Model (HMM) based detection uses a trained HMM to determine if a program is similar enough to another program to be considered functionally equivalent. Research has shown that HMMs can be used to successfully detect metamorphic viruses [7, 8, 12, 14], and are often used in pattern recognition applications, such as speech [31] and handwriting [32] recognition, and bioinformatics [33].

## CHAPTER 5

### Objective, Design, and Implementation

#### 5.1 Introduction

The primary objective of this research is to perform code morphing such that the degree to which a morphing strategy is applied, is configured at run time. LLVM is an ideal tool to use to accomplish this, given the modularity of the toolchain.

#### 5.2 Implementation

##### 5.2.1 LLVM BasicBlockPass

Since the objective is to morph code, a transform pass is an ideal candidate. Morphing code requires adjustments at the Instruction level, and LLVM BasicBlocks contain Instructions, so an LLVM BasicBlockPass is one way to accomplish our objective. A BasicBlockPass, “MorphingBasicBlockPass”, was developed in C++.

##### 5.2.1.1 Features

Command line options are listed in Table 4. An example of execution is shown in Figure 15, where the degree for each strategy is set to a value of 30.

Option	Description	Input
add-degree	The probability addition is applied	0-INT_MAX
sub-degree	The probability substitution is attempted	0-100
trs-degree	The probability transposition is attempted	0-100

Note: Probabilities are evaluated for each original Instruction

Table 4. MorphingBasicBlockPass Command-Line Options

```
opt -load MorphingBasicBlockPass.so -MorphingBasicBlockPass
-add-degree 30 -sub-degree 30 -trs-degree 30 < FILE.bc >
MORPHED_FILE.bc
```

Figure 15. Morphing Pass Command Line Example

### 5.2.1.2 Morphing

Morphing degree is defined by user input. For each BasicBlock, an iteration is performed over the Instruction objects. With each iteration, a random distribution of 1 to 100 is used to select a random number for comparison with the given morphing strategy degree. If the random number is less than or equal to the degree, this is considered a “hit” and the morphing strategy is attempted using that Instruction. Multiple strategies can be applied to the same instruction if more than one strategy has a “hit”. In the case of addition, a multiplier effect is applied if the add-degree is greater than 100, this guarantees that for each multiple of 100, an instruction is added.

#### 5.2.1.2.1 Addition

The code addition strategy adds dead code to the program. When a hit occurs, a randomly selected Instruction object is created and inserted into the Instruction object list in the BasicBlock, after the current Instruction object in iteration. A vector of enumerations, representing instructions, is used with a randomly selected index, bounded by the vector size, to determine the instruction to add. Possible instructions added are shown in Table 5.

To mitigate constant folding, where the compiler pre-computes constant values at compile time and optimizes them out, at the start of each BasicBlock, a 64-bit integer is allocated in memory. This value is loaded into a register initially and associated with the first dead code instruction, each subsequent dead code instruction is chained

Enumeration	Returns	LLVM Instruction	x86 Output
BINARY_OP_ADD	int64	add	add
BINARY_OP_SUB	int64	sub	sub
BINARY_OP_MUL	int64	mul	mul
BINARY_OP_DIV	int64	div	div
BINARY_OP_REM	int64	urem	div
BINARY_OP_SHL	int64	shl	shl
BINARY_OP_LSHR	int64	lshr	shr
BINARY_OP_ASHR	int64	ashr	sar
BINARY_OP_AND	int64	and	and
BINARY_OP_OR	int64	or	or
BINARY_OP_XOR	int64	xor	xor
ICMP_TRUE	int1	icmp true	cmp
ICMP_FALSE	int1	icmp false	cmp
BINARY_OP_FADD	float	fadd	addsd
BINARY_OP_FSUB	float	fsub	subsd
BINARY_OP_FMUL	float	fmul	mulsd
BINARY_OP_FDIV	float	fdiv	divsd
BINARY_OP_FREM	float	frem	divsd
FCMP_TRUE	int1	fcmp true	cmp
FCMP_FALSE	int1	fcmp false	cmp

Table 5. Code Addition Mapping

to the previously added dead code instruction. At the end of the BasicBlock, the final value is written back to memory, this ensures that the compiler does not optimize-out the added code. In addition, as each dead code instruction is added, two vectors store pointers to previously added dead code instructions, depending on the type of dead code instruction to be added, an Integer or Floating Point value is randomly selected from these vectors to be used as one of the instruction operands. This creates a dependency between the added Instruction, the preceding dead code instruction, and a randomly selected previously added instruction, which further mitigates compiler optimization. LLVM is type aware, and will not allow operands of incorrect type to be passed into Instructions. When the Instruction to be chained as an operand is of the wrong type, a CastInst Instruction is added to convert the type.

Figure 16 displays a snippet of pre-morphed Human Readable IR bytecode taken from a simple hello world program, performing the conditional part of a for loop. Figure 17 contains a snippet of morphed Human Readable IR bytecode. The parameters of the morphing are `-add-degree=100 -sub-degree=0`.

---

```

1 for.cond:                                     ; preds = %for.↔
  inc, %entry
2 %1 = load i32* %i, align 4
3 %conv = sext i32 %1 to i64
4 %arraydecay = getelementptr inbounds [13 x i8]* %str, i32 0, i32 ↔
  0
5 %call = call i64 @strlen(i8* %arraydecay) #4
6 %cmp = icmp ult i64 %conv, %call
7 br i1 %cmp, label %for.body, label %for.end

```

---

Figure 16. Pre-Morphed Human Readable IR Bytecode

---

```

1 for.cond:                                     ; preds = %for.↔
  inc, %entry
2 %16 = alloca i64
3 %17 = load volatile i64* %16
4 %18 = ashr i64 %17, 47
5 %19 = load i32* %i, align 4
6 %20 = sitofp i64 %18 to double
7 %21 = fadd double 0x7FE9820FC1E8470C, %20
8 %conv = sext i32 %19 to i64
9 %22 = fptosi double %21 to i64
10 %23 = ashr i64 %22, 46
11 %arraydecay = getelementptr inbounds [13 x i8]* %str, i32 0, i32 ↔
  0
12 %24 = sitofp i64 %23 to double
13 %25 = fcmp false double 0x7FC385A243682477, %24
14 %26 = zext i1 %25 to i64
15 %call = call i64 @strlen(i8* %arraydecay) #4
16 %27 = lshr i64 %26, 39
17 store volatile i64 %27, i64* %16
18 %cmp = icmp ult i64 %conv, %call
19 br i1 %cmp, label %for.body, label %for.end

```

---

Figure 17. Morphed Human Readable IR Bytecode (add = 100, sub = 0)

### 5.2.1.2.2 Substitution

Substitution is limited to integer and floating-point add and subtract instruc-

tions. When a hit occurs, the current instruction is evaluated to determine if it is suitable for substitution, if not, the substitution operation is aborted. As shown in Table 6; an “add” operation is replaced with a negate and “sub”, a “sub” operation is replaced with a negate and “add”. LLVM does not have an IR representation for “neg”, and instead uses subtraction against zero [16].

Input (LLVM)	→	Output (LLVM)	→	Output (x86)
$O = \text{add } x \ y$	→	$O' = \text{sub } 0 \ x$ $O = \text{sub } y \ O'$	→	sub sub
$O = \text{fadd } x \ y$	→	$O' = \text{fsub } 0 \ x$ $O = \text{fsub } y \ O'$	→	subsd subsd
$O = \text{sub } x \ y$	→	$O' = \text{sub } 0 \ x$ $O = \text{add } O' \ y$	→	sub add
$O = \text{fsub } x \ y$	→	$O' = \text{fsub } 0 \ x$ $O = \text{fadd } O' \ y$	→	subsd addsd

Table 6. Code Substitution Mapping

### 5.2.1.2.3 Transposition

Transposition uses the DependenceAnalysis [34] process to determine instruction dependencies. In testing, this feature frequently returned that instructions were dependent on previous instructions, and in some cases where dependencies were not detected, inspection of the code showed that a dependence did indeed exist. Alternative mechanisms to leverage LLVM for dependence analysis were searched for, but none were found. As a result, the user-input to configure transposition degree, and the strategy activation code exists but the morphing strategy is not implemented.

### 5.2.1.3 Validation

Validation was performed by executing the full toolchain on pre-morphed and morphed code. Comparisons were performed at both the LLVM IR Bytecode phase (see Figures 16, 17), and at the post-assembled phase, by performing opcode extrac-

tion (see 5.2.2). Figure 18 contains a section of opcodes extracted from a “hello world” test program that was not morphed. Figure 19 contains the corresponding section of opcodes extracted from a morphed variant of the “hello world” test program listed above. The parameters of the morphing were `-add-degree=30 -sub-degree=0`. The following LLVM instructions were added to this section as part of the morphing, line numbers of the result listed in parenthesis: `icmp false` (ln. 7), `lshr` (ln. 12), and `lshr` (ln. 20).

---

```

1  nop DWORD PTR [rax]
2  push rbp
3  mov rbp, rsp
4  sub rsp, 0x30
5  mov DWORD PTR [rbp-0x4], 0x0
6  mov rax, QWORD PTR ds:0x400680
7  mov QWORD PTR [rbp-0x15], rax
8  mov ecx, DWORD PTR ds:0x400688
9  mov DWORD PTR [rbp-0xd], ecx
10 mov dl, BYTE PTR ds:0x40068c
11 mov BYTE PTR [rbp-0x9], dl
12 mov DWORD PTR [rbp-0x8], 0x0
13 lea rdi, [rbp-0x15]
14 movsxd rax, DWORD PTR [rbp-0x8]
15 mov QWORD PTR [rbp-0x20], rax
16 call 400430 <strlen@plt>
17 mov rdi, QWORD PTR [rbp-0x20]
18 cmp rdi, rax
19 jae 4005e1 <main+0x81>
20 movabs rdi, 0x40068d
21 movsxd rax, DWORD PTR [rbp-0x8]
22 movsx esi, BYTE PTR [rbp+rax*1-0x15]
23 mov al, 0x0

```

---

Figure 18. Pre-Morphed Extracted Opcodes

### 5.2.2 Opcode Extraction

Opcodes need to be extracted for two reasons; they’re required to validate what the compiler is doing with morphed LLVM IR bytecode, and they need to be extracted to perform similarity detection using non-morphed and morphed code. Objdump, the

---

```

1  nop DWORD PTR [rax]
2  push rbp
3  mov rbp, rsp
4  sub rsp, 0x50
5  movabs rax, 0x7c2852d3e7014b1c
6  mov rcx, QWORD PTR [rbp-0x8]
7  cmp rax, rcx
8  setne dl
9  and dl, 0x1
10 movzx esi, dl
11 mov eax, esi
12 shr rax, 0x28
13 mov DWORD PTR [rbp-0xc], 0x0
14 mov rcx, QWORD PTR ds:0x4007b0
15 mov QWORD PTR [rbp-0x1d], rcx
16 mov esi, DWORD PTR ds:0x4007b8
17 mov DWORD PTR [rbp-0x15], esi
18 mov dl, BYTE PTR ds:0x4007bc
19 mov BYTE PTR [rbp-0x11], dl
20 shr rax, 0x1f
21 mov QWORD PTR [rbp-0x8], rax
22 mov DWORD PTR [rbp-0x10], 0x0
23 lea rdi, [rbp-0x1d]
24 mov rax, rsp
25 add rax, 0xfffffffffffffff0
26 mov rsp, rax
27 mov rax, QWORD PTR [rax]
28 movsxd rcx, DWORD PTR [rbp-0x10]
29 mov QWORD PTR [rbp-0x28], rcx
30 mov QWORD PTR [rbp-0x30], rax
31 call 4004f0 <strlen@plt>
32 mov rcx, QWORD PTR [rbp-0x28]
33 cmp rcx, rax
34 jae 4006fd <main+0xdd>
35 movabs rdi, 0x4007bd
36 mov rax, rsp
37 add rax, 0xfffffffffffffff0
38 mov rsp, rax
39 mov rax, QWORD PTR [rax]
40 movsxd rcx, DWORD PTR [rbp-0x10]
41 movsx esi, BYTE PTR [rbp+rcx*1-0x1d]
42 mov QWORD PTR [rbp-0x38], rax
43 mov al, 0x0

```

---

Figure 19. Morphed Extracted Opcodes (add = 30, sub & trs = 0)

tool chosen for this research, is part of the Linux GNU binutils [35] package. Opcodes are extracted using the Intel standard assembly syntax [36], text output is parsed



using `grep` [37] and `awk` [38]. For similarity detection, opcodes go through a cleanup process, a simple program, “filteropcodes” was developed in C++. Filteropcodes compares each value of a potential opcode with a file containing 697 valid opcodes, taken as input, and filters out invalid entries, removing non-code data leftover from the initial parsing phase. The valid opcode mnemonics were retrieved from an XML file [39] using an XSL stylesheet. An example command-line execution of the opcode extraction process is shown in Figure 20.

```
objdump -M x86_64,intel-mnemonic --no-show-raw-insn -S $BINARY |
  grep '[0-9a-zA-Z]*\:' | awk '!($1="")' | awk '{print $1}' >
  $PREFILTERED_OPCODES
filteropcodes $VALID_INSTRUCTIONS $PREFILTERED_OPCODES
  $FILTERED_OPCODES
```

Figure 20. Objdump Execution Example

### 5.2.3 Similarity Detector (HMM)

An HMM implementation was written in C++. Two programs were developed, a HMM building program “hmm” that trains an HMM from input data, and an HMM scoring program “hmmscore”, that uses a model to score input data. The programs leverage an “OTHER” observation, that captures any input that is not present in the set of observation states in the model.

#### 5.2.3.1 Observation State Selection

Since computational demand increases as the HMM matrices increase in size, the set of Observation states was bounded at a size of 26. To determine the most useful instructions to include, `objdump` was executed on all morphed variants of the program. Opcodes were counted, and the top 25 most frequent opcodes were selected for the model. The 26th opcode is the “OTHER” observation listed in Section 5.2.3.

### 5.2.3.2 Validation

The “hmm” program was validated by performing the “not-so-simple example” in [1], using the “Brown Corpus” and an HMM with two hidden states to separate between vowels and consonants in English language. Program output that has the expected result is shown in Figure 21.

```
Performed 1000 Iterations.
N=2, M=27, T=80000
pi:
      0.0000000000    1.0000000000
A:
      0.2869161682    0.7130838318
      0.7387748512    0.2612251488
B:
      0.0011538568    0.3405753522
a      0.0000535989    0.1381899695
b      0.0232143489    0.0000000000
c      0.0568935789    0.0000000000
d      0.0665478002    0.0000000000
e      0.0000000000    0.2153087113
f      0.0346372825    0.0000000000
g      0.0284713549    0.0000000000
h      0.0761528906    0.0000000000
i      0.0000000000    0.1233571305
j      0.0040532990    0.0000000000
k      0.0072303110    0.0015695528
l      0.0700606594    0.0000000000
m      0.0417366972    0.0000000000
n      0.1153347812    0.0000000000
o      0.0000000000    0.1304577369
p      0.0376342672    0.0000000000
q      0.0013510997    0.0000000000
r      0.1038381512    0.0000000000
s      0.1103479951    0.0000000000
t      0.1494095245    0.0067417486
u      0.0000000000    0.0437997981
v      0.0164588506    0.0000000000
w      0.0245900140    0.0000000000
x      0.0040041681    0.0000000000
y      0.0256708938    0.0000000000
z      0.0011545761    0.0000000000
```

Figure 21. HMM Program Validation

## CHAPTER 6

### Experiments

#### 6.1 Dataset

Data was generated from GNU coreutils [40], a suite of Linux commands. The largest command, “ls”, was selected as a program to perform morphing on. The other commands were used as the benign data set. The benign data was compiled using optimization level 2 (-O2).

##### 6.1.1 Training Data

Training data was generated using an Add Degree of 1, the binaries were compiled using clang with a compiler optimization of 0 (-O0). 50 variant files were generated, with an average of 2.06% code morphing. The variant files were concatenated together, and the concatenated file was used as the input for HMM training. The model is shown in Table 7.

##### 6.1.2 Test Data

Test data was generated using varying add-degree values: 25, 50, 100, 200, 400, 800, 1600, and 3200. This non-linear approach was chosen because a non-linear rate of change in scoring was observed during initial trial and error. The test data binaries were compiled using clang with a compiler optimization of 0 (-O0). 50 files were generated for each add-degree.

	$\pi$	0.000000000000000000	1.000000000000000000
	$A$	0.8637300479230411998 0.0860074392855465775	0.1362699520770013772 0.9139925607138835728
$B$	OTHER	0.0699936581903331939	0.0082915228540217534
	add	0.0014958661667065841	0.0727099056793662213
	and	0.0189049567728136435	0.0127566754956268645
	call	0.0323666911830608126	0.0693702185449694003
	cmp	0.1366788506795023339	0.000000000000000000
	ja	0.0027361093554276713	0.0023912945970399435
	jae	0.0139133354969235481	0.000000000000000000
	je	0.0701424017120766408	0.000000000000000000
	jge	0.0066208286157774122	0.000000000000000000
	jmp	0.1332991576448592508	0.0340140401570356993
	jne	0.0678759673366467475	0.000000000000000000
	lea	0.0006118131491648823	0.0108553295640027355
	mov	0.3259771411192445290	0.6273424358217389862
	movss	0.0113590390077642038	0.000000000000000000
	movsx	0.0148211450127546766	0.0015781868146700286
	movsxd	0.0028243810806406516	0.0065603714315576973
	movzx	0.0061572871657375816	0.0089080851421619849
	nop	0.000000000000000000	0.0159047351172595733
	or	0.0027514345427740879	0.0017130199723750384
	pop	0.000000000000000000	0.0258077976653301178
push	0.0107248596738458608	0.0262407658962855901	
retn	0.000000000000000000	0.0244669598178846558	
shl	0.000000000000000000	0.0036337069036462360	
sub	0.0070663228602007655	0.0350336106545953083	
test	0.0451310221905035919	0.000000000000000000	
xor	0.0185477310435632516	0.0124213378703803973	

Table 7. Trained HMM Model

## 6.2 Experimental Method

Using the model generated from the training data, the “benign” coreutils data, and the morphed test data, HMM scores were computed. Results are in the following section.

## 6.3 Results

Figure 22 displays HMM scores for each data point across the different morphing degrees. Blue triangles reflect the Training Data, green triangles reflect the Benign Data, and the data points of various shapes following a gradient from black to red

represent the morphed variants. We can see that around add-degree of 400, the morphed code becomes indistinguishable from the benign data.

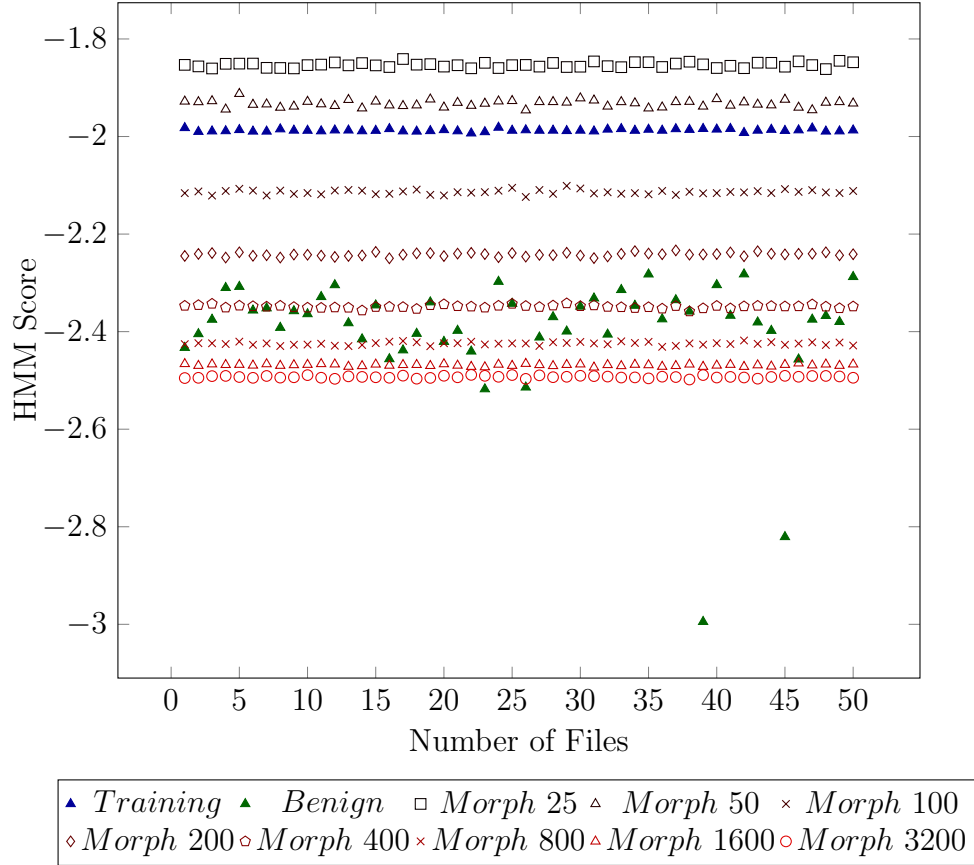


Figure 22. HMM Score Vs. Morphing Degree

Table 8 provides the percentage of dead code added to a file for each morphing degree, calculated by subtracting the size of the original executable from the size of the morphed variant, and dividing the result by the size of the original executable. An add-degree of 400 causes a 433.38% increase in executable size.

Figure 23 displays Receiver Operating Characteristic (ROC) curves for Add Degree's 200, 400, 800, and 1600. We can see from the charts that the HMM begins to break down at Add Degree 400, and continues to get worse as the code increases in morphing.

Add Degree	Code Added (%)
25	41.86
50	84.36
100	191.54
200	285.14
400	433.38
800	671.82
1600	1,051.45
3200	1,672.49

Table 8. Add Degree vs. Code Added

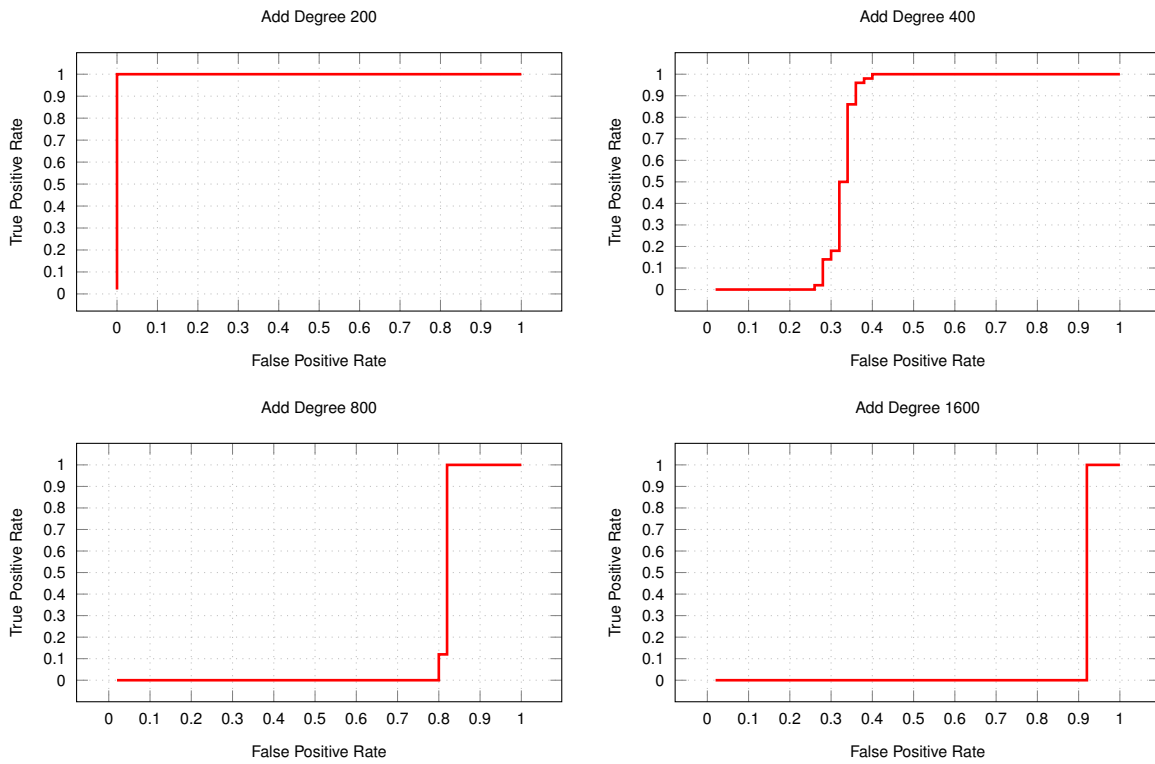


Figure 23. ROC Curves for Add Degrees 200, 400, 800, and 1600

The Area Under the Curve (AUC) for the data is shown in Table 9, we can see that the number of false positives does not increase between 1600 and 3200 Add Degree, which is suggestive that the HMM score plateaus at very high levels of morphing. This is expected, since the HMM score is a value normalized by the number of opcodes in the program.

Add Degree	AUC
25	1.000
50	1.000
100	1.000
200	1.000
400	0.8364
800	0.5912
1600	0.5400
3200	0.5400

Table 9. ROC AUC Statistics for Add Strategy

Unexpectedly, the Add Degree 25 and Add Degree 50 sets appear to score better than the original training data. Reviewing Figure 22, we can see the non-linear fashion in which scores improve relative to code added. At very high Add Degrees, the score improves very little, and it takes a significant increase, 433.38%, in program size to evade HMM detection. Additional datasets were evaluated and are provided in Appendix A. These include scoring optimized morphed variants using a model trained with non-optimized data (see A.1), scoring non-optimized variants using a model trained with optimized data (see A.2), and scoring optimized variants using a model trained with optimized data (see A.3).

In summary, the HMM is highly effective at determining the similarity of the morphed program when compiler optimization 0 is used during compilation. The HMM was fully successful in differentiating the benign data from morphed variants up to Add Degree 200. At Add Degree 400 the HMM started to provide similar scores to that of the benign data.

## CHAPTER 7

### Conclusion

In this project, we investigated a new approach using the LLVM Pass API to generate highly random morphed variants of software. Dead code was added at various degrees and a model was trained using an HMM to determine both the strength of this morphing approach, and the strength of the HMM. Leveraging a custom developed BasicBlocksPass, we intertwined dead code within the very low levels of a program in an attempt to defeat compiler optimization, such that the dead code was preserved.

We discovered that HMM's are an effective detection mechanism in regards to software that is morphed using this approach. We also discovered that at very high morphing rates, the HMM becomes less effective at properly classifying the origin of the morphed program. In the case tested, the HMM was capable of properly classifying the morphed program up until 433% of dead code was added.

There are many improvements that can be made to this code generator. These include incorporating instruction transposition using LLVM's Dependence Analysis capability (an original goal of this project), adding new instruction substitution schemes, and increasing the precision of dead code insertion.



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# APPENDIX

## Additional Datasets

### A.1 Dataset 2: O0 Training, O2 Morphed

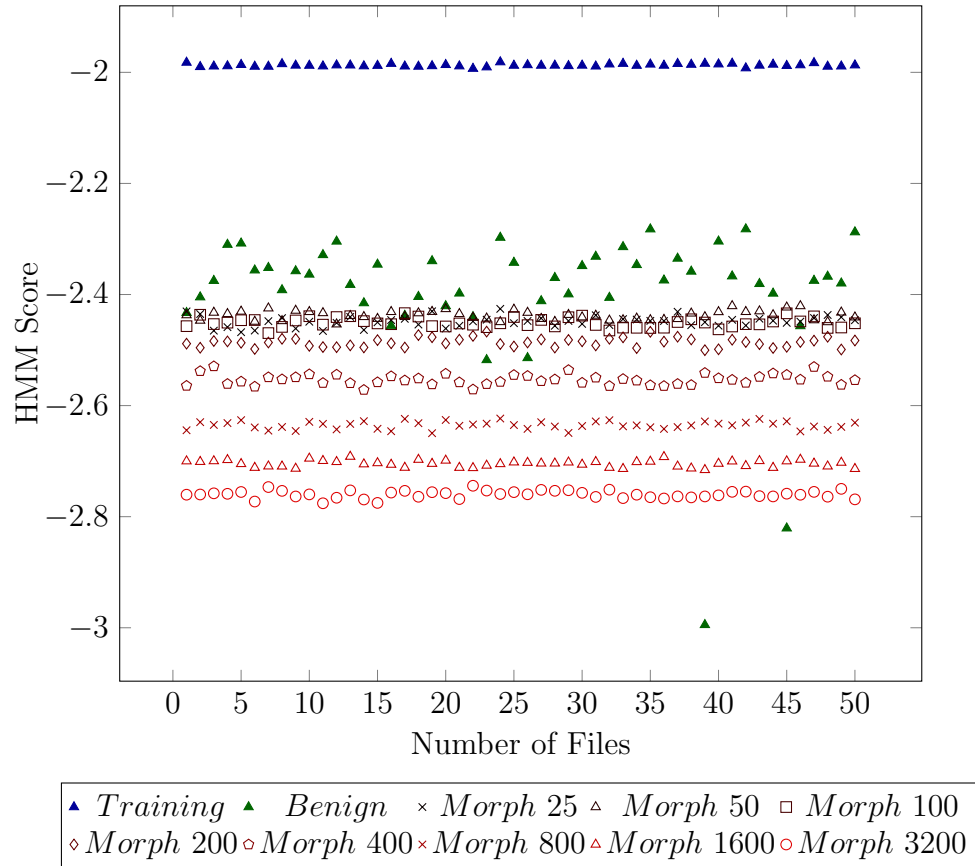
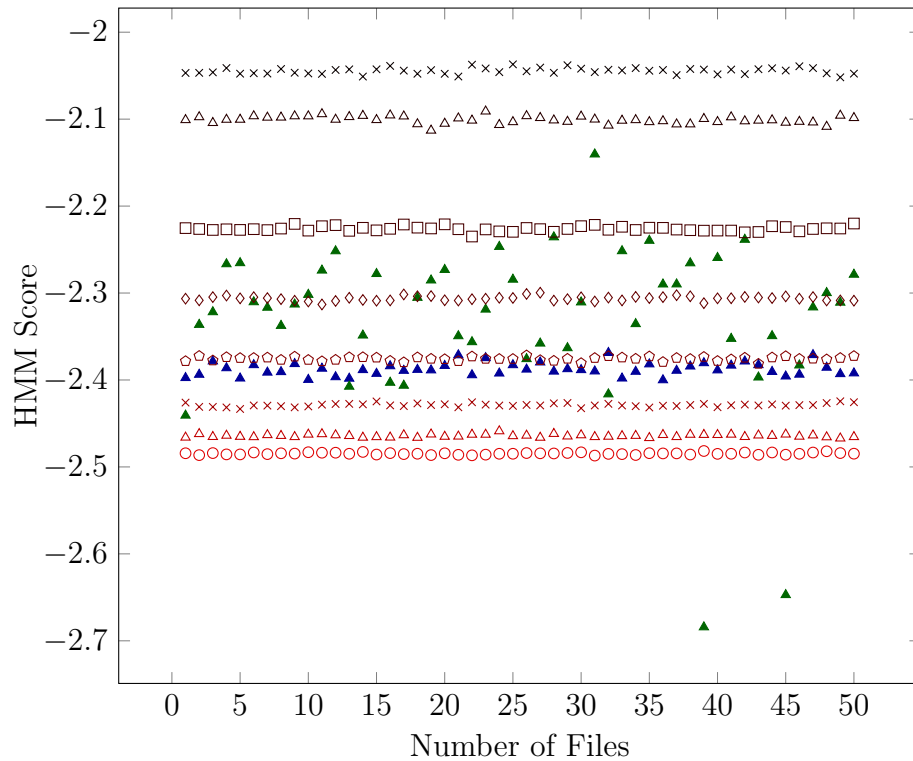


Figure A.24. Dataset 2: HMM Score Vs. Morphing Degree

Add Degree	Code Added (%)
25	-8.5
50	13.05
100	55.96
200	72.49
400	95.58
800	133.43
1600	193.29
3200	284.59

Table A.10. Dataset 2: Add Degree vs. Code Added

### A.2 Dataset 3: O2 Training, O0 Morphed



▲ Training ▲ Benign × Morph 25 △ Morph 50 □ Morph 100  
 ◇ Morph 200 ○ Morph 400 × Morph 800 △ Morph 1600 ○ Morph 3200

Figure A.25. Dataset 3: HMM Score Vs. Morphing Degree

Add Degree	Code Added (%)
25	114.63
50	178.38
100	339.34
200	479.93
400	703.07
800	1,058.79
1600	1,633.49
3200	2,582.34

Table A.11. Dataset 3: Add Degree vs. Code Added

### A.3 Dataset 4: O2 Training, O2 Morphed

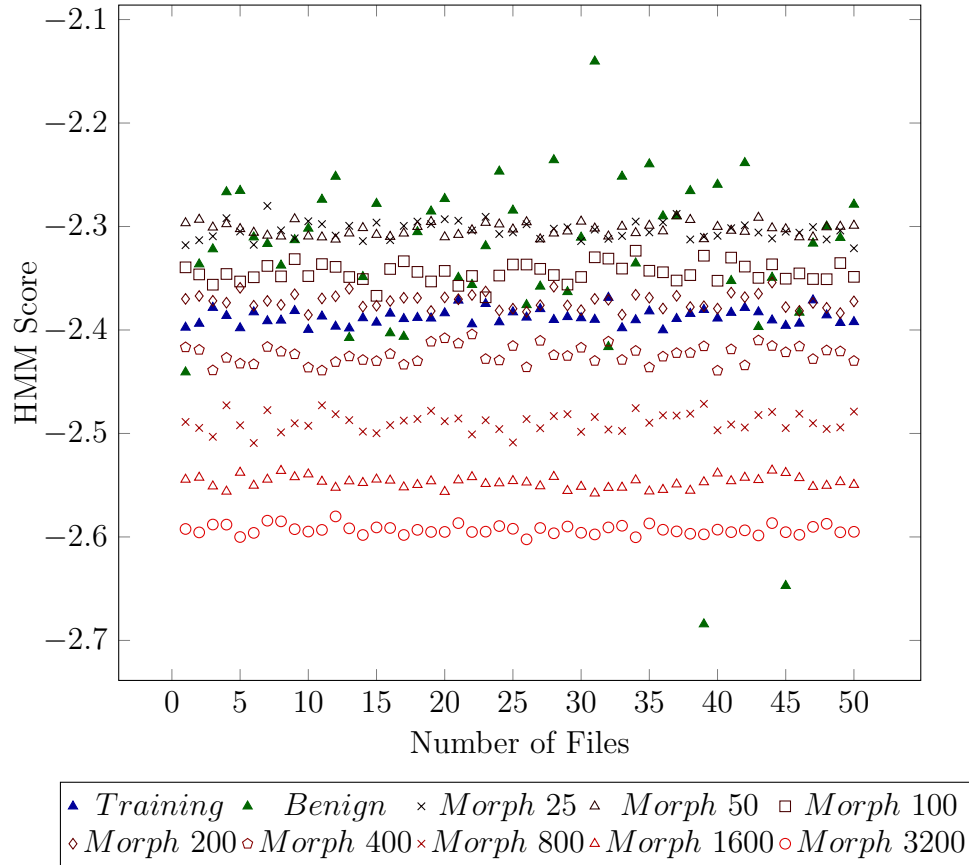


Figure A.26. Dataset 4: HMM Score Vs. Morphing Degree

Add Degree	Code Added (%)
25	37.65
50	69.95
100	135.35
200	159.44
400	193.83
800	251.00
1600	343.37
3200	481.42

Table A.12. Dataset 4: Add Degree vs. Code Added

#### A.4 Dataset 5: O2 Trained Model Investigation

Comparing the scores of the O2 training data and the scores of 1,931 benign files from `/usr/bin` in a CentOS 7.4 Operating System, we can see that this model is not producing a very useful capability of differentiating morphed data from unmorphed data when compiler optimization is used. Figure A.27 displays the scores of the benign files against the training data. When using a model with Add Degree 30 for the training data, shown in Figure A.28, the scoring against the benign data is much better, though there are still many benign files that might be considered a match. When testing this higher degree model against the original Add Degree 1 training data, the HMM is unable to differentiate it from many benign programs in terms of similarity. This is a crude analysis, and doesn't take into account the underlying functionality of these benign files, which may be similar enough in function to be considered a match.

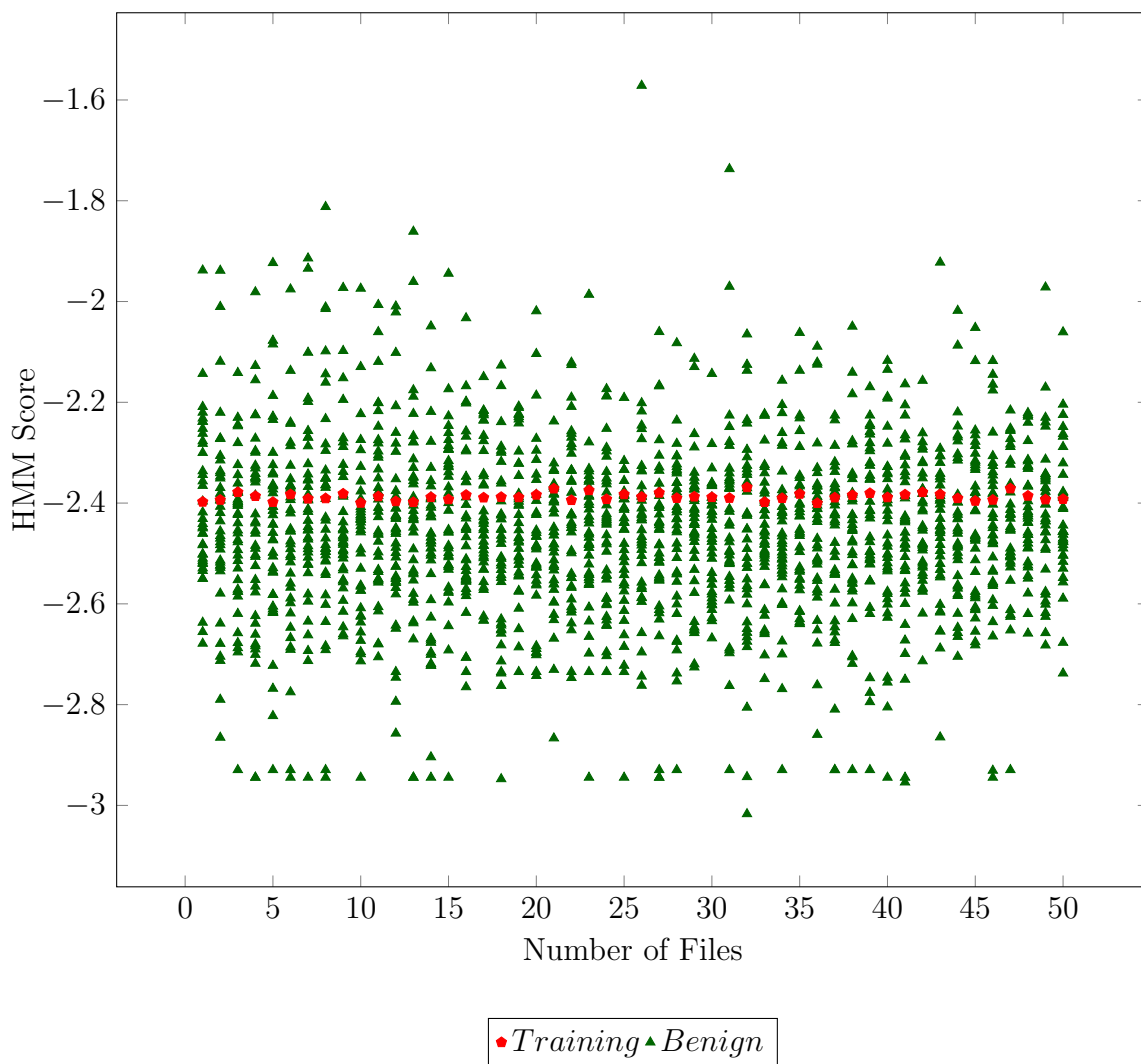


Figure A.27. Dataset 5: Training Score Vs. /usr/bin Benign Files



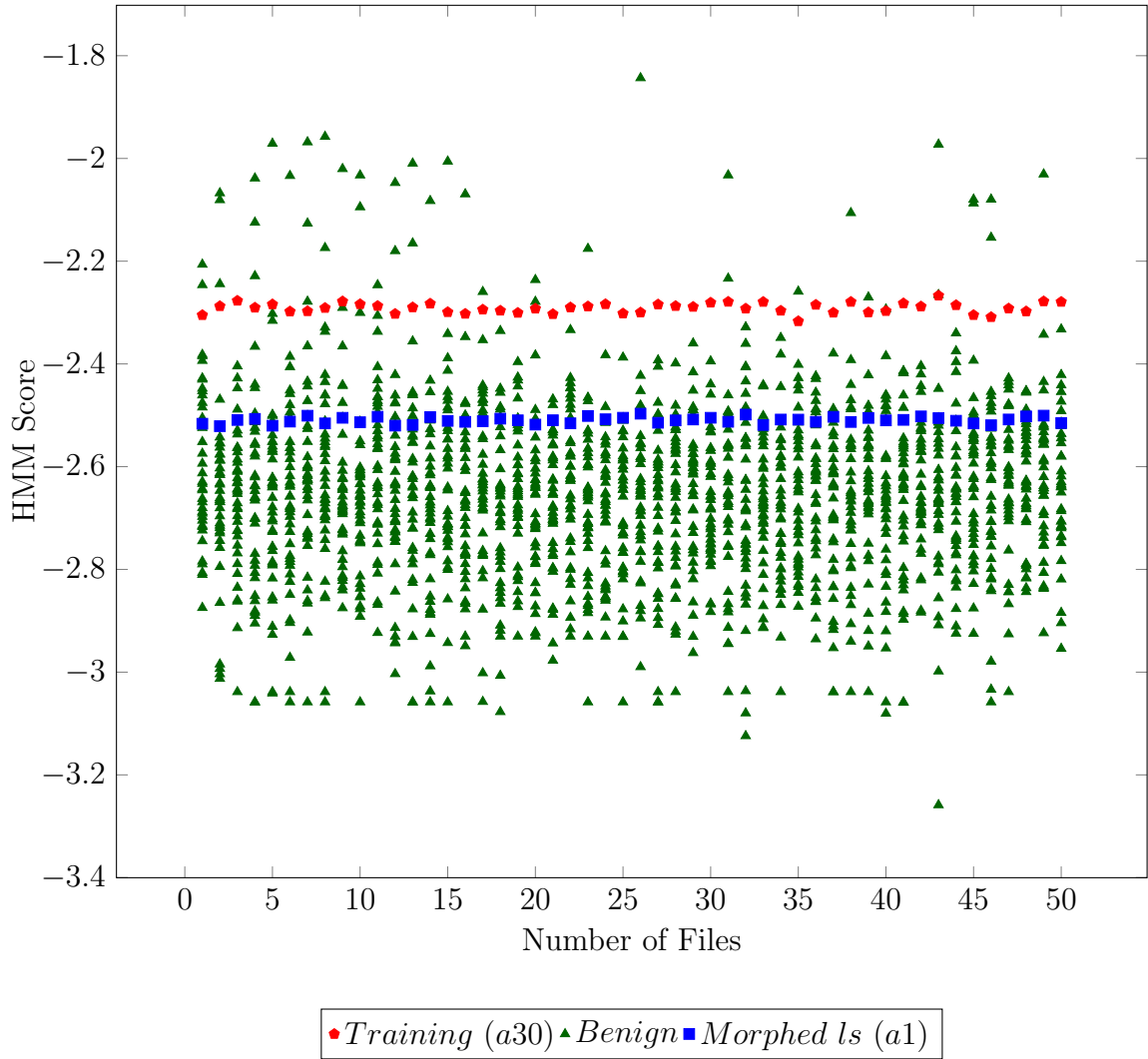


Figure A.28. Dataset 5: Training Score (Model a30) Vs. /usr/bin Benign Files, a1-O2 morphed ls